

General information about company	
Scrip code	540175
NSE Symbol	
MSEI Symbol	
ISIN	INE964R01013
Name of the entity	REGENCY FINCORP LIMITED
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Quarterly
Date of Report	31-12-2022
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I																									
Annexure I to be submitted by listed entity on quarterly basis																									
I. Composition of Board of Directors																									
Disclosure of notes on composition of board of directors explanatory																									
Whether the listed entity has a Regular Chairperson								Yes																	
Whether Chairperson is related to MD or CEO								Yes																	
Disqualification of Directors under section 164 of the Companies Act, 2013																									
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	GAURAV KUMAR	BRJPK2015C	06717452	Executive Director	Chairperson related to Promoter	MD	04-02-1977	No				Active	NA		13-09-2017	24-08-2022		2	0	1	0			
2	Mrs	SAHARA SHARMA	BBCPK1148K	07682859	Executive Director	Not Applicable		22-01-1983	No				Active	NA		24-08-2022			2	0	0	0			
3	Mr	VISHAL RAI SARIN	APJPS0737C	08758350	Executive Director	Not Applicable		30-03-1978	No				Active	NA		05-09-2020			1	0	0	0			
4	Mr	SUNIL JINDAL	AEWPJ0470M	02909819	Non-Executive - Independent Director	Not Applicable		04-03-1984	No				Active	NA		19-03-2021			22	1	1	0	0		

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Whether the listed entity has a Regular Chairperson																									
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? (Refer Reg. 17(1A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	JENISH PANKAJKUMAR SHAH	ACMPS5861P	07986786	Non-Executive - Independent Director	Not Applicable		06-05-1980	No				Active	NA		08-06-2020			34	1	1	1	1		

6	Mr	GURINDER SINGH SANDHU	AYVPS8195D	02507381	Non-Executive - Independent Director	Not Applicable		10-04-1969	No				Active	NA		02-11-2019			38	1	1	1	1		
7	Mr	KAMAL KUMAR	AJNPM0088J	03050458	Non-Executive - Independent Director	Not Applicable		26-08-1978	No				Active	NA		10-10-2022			2	1	1	0	0		
8	Mr	PRATIK JALAN	ATYPJ4112H	08396884	Non-Executive - Independent Director	Not Applicable		19-05-1994	No				Active	NA		24-04-2019	24-08-2022		40	1	0	0	0		

I. Composition of Board of Directors																									
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Whether the listed entity has a Regular Chairperson																									
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
9	Mrs	NEHA ABROL	AFBPA6503Q	06935869	Executive Director	Not Applicable		07-02-1983	No				Active	NA		29-05-2018	06-07-2022		50	1	0	0	0		
10	Mrs	RASHU SARIN	BXBPS4765D	07903239	Non-Executive - Non Independent Director	Not Applicable		30-12-1978	No				Active	NA		09-01-2021	24-08-2022		19	1	0	0	0		
11	Mrs	RANJNA SHARMA	BRSPS1221B	08781305	Non-Executive - Non Independent Director	Not Applicable		13-02-1982	No				Active	NA		14-08-2021	06-07-2022		11	1	0	0	0		

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02507381	GURINDER SINGH SANDHU	Non-Executive - Independent Director	Chairperson	02-11-2019		
2	07986786	JENISH PANKAJKUMAR SHAH	Non-Executive - Independent Director	Member	08-06-2020		
3	06717452	GAURAV KUMAR	Executive Director	Member	24-08-2022		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02909819	SUNIL JINDAL	Non-Executive - Independent Director	Chairperson	19-03-2021		
2	02507381	GURINDER SINGH SANDHU	Non-Executive - Independent Director	Member	02-11-2019		
3	07986786	JENISH PANKAJKUMAR SHAH	Non-Executive - Independent Director	Member	08-06-2020		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07986786	JENISH PANKAJKUMAR SHAH	Non-Executive - Independent Director	Chairperson	08-06-2020		

2	02507381	GURINDER SINGH SANDHU	Non-Executive - Independent Director	Member	02-11-2019		
3	06717452	GAURAV KUMAR	Executive Director	Member	24-08-2022		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1								
Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	01-07-2022				Yes	9	9	3
2	10-08-2022		39		Yes	7	7	3
3	24-08-2022		13		Yes	5	5	3
4		10-10-2022	46		Yes	6	6	3
5		22-10-2022	11		Yes	7	7	4
6		12-11-2022	20		Yes	7	7	4

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	10-08-2022				Yes	3	3	2	0
2	Audit Committee	24-08-2022	13			Yes	3	3	2	0
3	Audit Committee	12-11-2022	79			Yes	3	3	2	0
4	Nomination and remuneration committee	24-08-2022				Yes	3	3	3	0
5	Nomination and remuneration committee	10-10-2022	46			Yes	3	3	3	0
6	Stakeholders Relationship Committee	01-07-2022				Yes	3	3	2	0

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IV. Meeting of Committees										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Stakeholders Relationship Committee	24-08-2022	53			Yes	3	3	2	0
8	Stakeholders Relationship Committee	22-10-2022	58			Yes	3	3	2	0
9	Stakeholders Relationship Committee	12-11-2022	20			Yes	3	3	2	0

Annexure 1				
V. Related Party Transactions				
Sr	Subject			Compliance status (Yes/No/NA)
1	Whether prior approval of audit committee obtained			NA
2	Whether shareholder approval obtained for material RPT			NA
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee			NA

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	MANISHA SHARMA
2	Designation	Company Secretary and Compliance Officer

Signatory Details	
Name of signatory	MANISHA SHARMA
Designation of person	Company Secretary and Compliance Officer
Place	ZIRAKPUR
Date	21-01-2023

